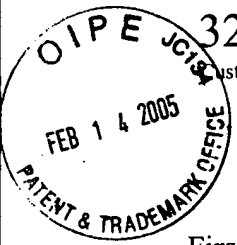


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32692
Customer Number

Patent
Case No.: 59093US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: YANG, LIZHANG
Application No.: 10/687329 Group Art Unit: 2839
Filed: October 16, 2003 Examiner: Le, Thanh Tam T.
Title: OPTICAL INTERCONNECT DEVICE

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]	
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<u>2-10-05</u> Date	<u>Melanie Gover</u> Signed by: Melanie G. Gover

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional

fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

Feb. 10, 2005
Date

By: Melanie Gover
Melanie G. Gover, Reg. No.: 41,793
Telephone No.: (512) 984-4308

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833



Substitute for form 1449A/PTO (modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

Application Number

10/687329

Filing Date

October 16, 2003

First Named Inventor

Yang, Lizhang

Art Unit

2839

Examiner Name

Le, Thanh Tam T.

Attorney Case Number

59093US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,560,395 B1	05-06-2003	Shahid	
	A2	US- 5,006,201	04-09-1991	Kaukeinen	
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	JP	2002 341209 A	11-27-2002	Abstract only		
	B2	JP	06 063483 A	03-08-1994	Abstract only		
	B3	JP	54 037766 A	03-20-1979	Abstract only		
	B4	EP	0 978 744 A2	02-09-2000			
	B5						
	B6						
	B7						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

*Examiner:

Date Considered:

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